

**Declaration for Ultimate Beneficial ownership (UBO)****[Mandatory for all Trust – UBO holding is 10% Or more ]**

To.

ICICI Bank Limited / ICICI Securities Limited

Name of the Customer: - \_\_\_\_\_

☐ We hereby declare that besides the persons mentioned in the below table there are no natural person/s who ultimately hold 10 % <sup>(a)</sup> or more of shares directly or indirectly; or exercise control /influence, whether directly or indirectly through voting rights / agreement / arrangement.

(You can take Multiple copies of this page if details are more than 2 persons are to be mentioned)

|                                                                                      |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      |
|--------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Name                                                                                 |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      |
| Fathers Name                                                                         |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      |
| Gender                                                                               | <input type="checkbox"/> Male <input type="checkbox"/> Female                                                                                                                                                                                                                                                                                                                                                                                                                                                                                        | <input type="checkbox"/> Male <input type="checkbox"/> Female                                                                                                                                                                                                                                                                                                                                                                                                                                                                                        |
| Address with City, State, Postal code & country                                      |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      |
| Date of Birth                                                                        |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      |
| Country of Birth                                                                     |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      |
| Nationality                                                                          |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      |
| Politically Exposed Person (PEPs) / Related to a Politically Exposed Person (RPEP)** |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      |
| Designation                                                                          |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      |
| US Person (Y/N)                                                                      |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      |
| Country of Tax Residency                                                             |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      |
| TIN or equivalent No.                                                                |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      |
| Occupation Type                                                                      | <input type="checkbox"/> Private Sector <input type="checkbox"/> Public Sector <input type="checkbox"/> Government Service<br><input type="checkbox"/> Retired <input type="checkbox"/> Housewife <input type="checkbox"/> Student<br><input type="checkbox"/> Industrialist <input type="checkbox"/> Agriculture <input type="checkbox"/> Forex Dealer<br><input type="checkbox"/> Professional <input type="checkbox"/> Self Employed <input type="checkbox"/> Service<br><input type="checkbox"/> Business Income <input type="checkbox"/> Others | <input type="checkbox"/> Private Sector <input type="checkbox"/> Public Sector <input type="checkbox"/> Government Service<br><input type="checkbox"/> Retired <input type="checkbox"/> Housewife <input type="checkbox"/> Student<br><input type="checkbox"/> Industrialist <input type="checkbox"/> Agriculture <input type="checkbox"/> Forex Dealer<br><input type="checkbox"/> Professional <input type="checkbox"/> Self Employed <input type="checkbox"/> Service<br><input type="checkbox"/> Business Income <input type="checkbox"/> Others |
| Shareholding (%)                                                                     |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      |
| PAN                                                                                  |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      |
| ID Proof documents submitted (PAN mandatory for Residents / NRI)                     | <input type="checkbox"/> PAN <input type="checkbox"/> Passport<br><input type="checkbox"/> Aadhaar <input type="checkbox"/> Others                                                                                                                                                                                                                                                                                                                                                                                                                   | <input type="checkbox"/> PAN <input type="checkbox"/> Passport<br><input type="checkbox"/> Aadhaar <input type="checkbox"/> Others                                                                                                                                                                                                                                                                                                                                                                                                                   |

|                                                                                                |                                                                                                                                                                                                    |  |
|------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--|
| PAN of Guardian<br>(Applicable only if UBO is minor and not having PAN PAN card copy required) |                                                                                                                                                                                                    |  |
| Relationship with Entity<br>(Multiple options can be selected if multiple relationships)       | <input type="checkbox"/> Shareholder <input type="checkbox"/> Beneficial Owner<br><input type="checkbox"/> Promoter <input type="checkbox"/> Director<br><input type="checkbox"/> Trustee/ Partner |  |
| Address proof document submitted                                                               |                                                                                                                                                                                                    |  |
| UBO code (Please refer below Point no. 2 for UBO code)                                         |                                                                                                                                                                                                    |  |

**Authorised Signatory Sign**

Notes : \* Nature of Beneficial Owner

1. a ) With Interest > =10 % (In case of juridical person is Trust )

b) Management Control

If (a) Indicate the extent of With Interest

For (b) mention the capacity in which engaged with the With Trust

@ The said natural person may act alone or together, or through one or more juridical person

\*\* For definition of PEP/ RPEP please refer Page no.12 of the Account opening form

2. UBO code for controlling person type.