

Declaration for Ultimate Beneficial ownership (UBO)**[Mandatory for all Trust – UBO holding is 10% Or more]**

To.

ICICI Bank Limited / ICICI Securities Limited

Name of the Customer: - _____

☐ We hereby declare that besides the persons mentioned in the below table there are no natural person/s who ultimately hold 10 % ^(a) or more of shares directly or indirectly; or exercise control /influence, whether directly or indirectly through voting rights / agreement / arrangement.

(You can take Multiple copies of this page if details are more than 2 persons are to be mentioned)

Name		
Fathers Name		
Gender	☐ Male ☐ Female	☐ Male ☐ Female
Address with City, State, Postal code & country		
Date of Birth		
Country of Birth		
Nationality		
Politically Exposed Person (PEPs) / Related to a Politically Exposed Person (RPEP)**		
Designation		
US Person (Y/N)		
Country of Tax Residency		
TIN or equivalent No.		
Occupation Type	☐ Private Sector ☐ Public Sector ☐ Government Service ☐ Retired ☐ Housewife ☐ Student ☐ Industrialist ☐ Agriculture ☐ Forex Dealer ☐ Professional ☐ Self Employed ☐ Service ☐ Business Income ☐ Others	☐ Private Sector ☐ Public Sector ☐ Government Service ☐ Retired ☐ Housewife ☐ Student ☐ Industrialist ☐ Agriculture ☐ Forex Dealer ☐ Professional ☐ Self Employed ☐ Service ☐ Business Income ☐ Others
Shareholding (%)		
PAN		
ID Proof documents submitted (PAN mandatory for Residents / NRI)	☐ PAN ☐ Passport ☐ Aadhaar ☐ Others	☐ PAN ☐ Passport ☐ Aadhaar ☐ Others

PAN of Guardian (Applicable only if UBO is minor and not having PAN PAN card copy required)		
Relationship with Entity (Multiple options can be selected if multiple relationships)	☐ Shareholder ☐ Beneficial Owner ☐ Promoter ☐ Director ☐ Trustee/ Partner	
Address proof document submitted		
UBO code (Please refer below Point no. 2 for UBO code)		

Authorised Signatory Sign

Notes : * Nature of Beneficial Owner

1. a) With Interest $\geq 10\%$ (In case of juridical person is Trust)

b) Management Control

If (a) Indicate the extent of With Interest

For (b) mention the capacity in which engaged with the With Trust.

@ The said natural person may act alone or together, or through one or more juridical person

** For definition of PEP/ RPEP please refer Page no.12 of the Account opening form

2. UBO code for controlling person type.