

Declaration for Ultimate Beneficial ownership (UBO)

[Mandatory for all entities except listed company or subsidiary of / Controlled by a listed company holding is more than 10% in case of corporate / Partnership Firm/LLP]

To.

ICICI Bank Limited / ICICI Securities Limited

Name of the Customer: - _____

☐ We hereby declare that besides the individual/s mentioned in the below table there are no natural person/s who ultimately hold More than 10 % Of Shares/Capital/Profits directly or indirectly; or exercise control /influence, whether directly or indirectly through voting rights / agreement / arrangement.

OR

☐ We hereby declare that there are no natural person/s who ultimately hold More than 10 % of Shares/Capital/Profits directly or indirectly; or exercise control / influence, whether directly or indirectly through voting rights/ agreement / arrangement. Hence, there are no Controlling Persons including US or Foreign Citizens / Residents holding More than 10 % Of Shares/Capital/Profits However, we would like to appoint the below mentioned individual /s as Senior managing official as natural person.

(You can take Multiple copies of this page if details are more than 2 persons are to be mentioned)

Name		
Fathers Name		
Gender	☐ Male ☐ Female	☐ Male ☐ Female
Address with City, State, Postal code & country		
Date of Birth		
Country of Birth		
Nationality		
Politically Exposed Person (PEPs) / Related to a Politically Exposed Person (RPEP)**		
DIN (Applicable if UBO is Director)		
US Person (Y/N)		
Country of Tax Residency		
TIN or equivalent No.		

Occupation Type	☐ Private Sector ☐ Public Sector ☐ Government Service ☐ Retired ☐ Housewife ☐ Student ☐ Industrialist ☐ Agriculture ☐ Forex Dealer ☐ Professional ☐ Self Employed ☐ Service ☐ Business Income ☐ Others	☐ Private Sector ☐ Public Sector ☐ Government Service ☐ Retired ☐ Housewife ☐ Student ☐ Industrialist ☐ Agriculture ☐ Forex Dealer ☐ Professional ☐ Self Employed ☐ Service ☐ Business Income ☐ Others
Shareholding (%)		
PAN		
ID Proof documents submitted (PAN mandatory for Residents / NRI)	☐ PAN ☐ Passport ☐ Aadhaar ☐ Others	☐ PAN ☐ Passport ☐ Aadhaar ☐ Others
PAN of Guardian (Applicable only if UBO is minor and not having PAN – PAN card copy required)		
Relationship with Entity (Multiple options can be selected if multiple relationships)	☐ Shareholder ☐ Beneficial Owner ☐ Promoter ☐ Director ☐ Trustee/ Partner	☐ Shareholder ☐ Beneficial Owner ☐ Promoter ☐ Director ☐ Trustee/ Partner
Address proof document submitted		
UBO code (Please refer below Point no. 2 for UBO code)		

Authorised Signatory Sign

Notes : * Nature of Beneficial Owner.

1-a) Shareholding/Capital/Profits > 10% (In case where juridical person are Corporate/Partnership Firm/LLP

b) Management Control.

If (a) Indicate the extent of Shareholding with - Shareholding/Capital/Profits

For (b) mention the capacity in which engaged with the corporate with - Corporate/Partnership Firm/LLP

@ The said natural person may act alone or together, or through one or more juridical person

Promoter and controls are terms as defined under Companies ÷ Act and SEBI regulations

2. UBO code for controlling person type.