FATCA / CRS Declaration (Non - Individual)

Name of the Entity	
Country of Incorporation	☐ INDIA ☐ US ☐ Others________________
Nature of Business	☐ Manufacturing ☐ Financial Services ☐ Investments ☐ Distribution/Retails ☐ IT ☐ Consultancy ☐ Others
Services Provided	☐ Forex/Money Changer Services ☐ Gaming/Gambling /Lottery Services ☐ Money Lending/Pawning ☐ None of the Above
Country of TAX Residence (Other Than India)	☐ YES ☐ NO
Country of Residence for TAX Purpose	________________________
Tax Identification Number/FE Number	________________________
Whether "Specified US Person"	☐ YES ☐ NO
Please fill up EITHER Section A OR Section B, depending on your entity type, please note that if both the Sections A and B are left blank, the declaration would be rejected.	
SECTION A: Please fill up this section, Only if, If Entity is Non-US Financial Institution (FFI). ➡ **GIIN – is Mandatory, please provide GIIN:** ________________________.	☐ Registered Deemed Compliant FFI (Reporting Model 1 FFI) ☐ Participating FFI ☐ Registered Deemed Compliant FFI (Reporting Model 2 FFI).
If GIIN is Not available, Pl select any of the options as applicable. ➡	☐ Owner Documented FFI with Specified US owners ☐ Deemed compliant FFI (other Than above Mentioned Categories) ☐ Exempt Beneficial Owner ☐ Non – Participating Foreign Financial Institution
SECTION B: Please fill up this section, If Entity is Non-Financial Entity. ➡	☐ Active NFFE ☐ Passive NFFE ☐ Direct Reporting NFFE GIIN ________________ (Required to provide only if Direct Reporting NFFE is selected.)
Please Fill below if applicable: 1. ☐ Our Company is a Listed Company and Listed on recognised stock Exchange. 2. ☐ Our Company is a Subsidiary of the Listed Company. 3. ☐ Our Company is controlled by a Listed Company.	**Details of Listed Company (If 2nd or 3rd option selected)** A. Name of the Company: ________________ ________________________ B. Stock Exchange on which Listed: ________________ ________________________

FATCA / CRS Declaration (Non - Individual) Contd....

Further, Is the entity substantially owned or controlled by persons resident for tax purpose in any country outside India or US persons: ☐YES ☐NO. (Please go through the table below and select the appropriate category)

S. No.	Entity Type	Controlling Person / Substantial owner	Yes/No
E-0	Sole Proprietor	Any natural person who is solely responsible and controls the decision /activities of the entity	
E-1	Company	Any natural person holding more than <10>% of shares or capital or profits in a company or chain of ownership	
E-2	Partnership	Any natural person holding more than <10>% of the capital or profits of the partnership firm	
E-3	Unincorporated association or Body of individuals	Any natural person holding more than <15>% of the property or capital or profits of an unincorporated association or body of individuals	
E-4	Trust	Any natural person being all settlors of the trust, all trustees, all protectors, all beneficiaries or class of beneficiaries (irrespective of the size of their interest in the trust) and any person exercising ultimate effective control over the trust through a chain of control or ownership where the account holder is a trust	

E-5. If the answer to question 'E' is Yes but the answer E-0 to E- 4 is 'No' then is there any natural person exercising control over the entity through voting rights, agreement, arrangements, etc. or any other means? ☐YES ☐NO.

E-6. If the answer to question 'E' is Yes but the answer E-0 to E- 5 is 'No' then is there any relevant natural person who holds the position of senior managing official. ☐YES ☐NO.

If any of the above point in section E is ticked as Yes & the controlling persons are resident for tax purpose outside India or US persons then provide FATCA/CRS Self - Certification.

Declaration:

(i) Under penalty of perjury, I/we certify that:

1. The applicant is: **(i)** An applicant taxable as a US person under the laws of the United States of America ('U.S.') or any state or political subdivision thereof or therein, including the District to Columbia or any other states of the U.S. **(ii)** An estate the income of which is subject to U.S. federal income tax regardless of the source thereof. (This clause is applicable only if the account holder is identified as a US person).

2. (i) The applicant is an applicant taxable as a tax resident under the laws of the country outside India. **(ii)** I/We understand that the Bank is relying on this information for the purpose of determining the status of the applicant named above in compliance with FATCA/CRS. The Bank is not able to offer any tax advice on FATCA/CRS or its impact on the applicant. I/we shall seek advice from a professional tax advisor for any tax questions **(iii)** I/We agree to submit a new form within <30> days if < any information or certification on this form becomes incorrect. **(iv)** I/We agree that as may be required by domestic regulators/tax authorities the Bank may also be required to report, reportable details to Central Board of Direct Taxes (CBDT) or close or suspend my account. **(v)** I/We certify that I/we provide the information on this form and to the best of my/our knowledge and belief the certification is tr ue, correct and complete including the taxpayer identification number of the applicant. **(vi)** I/we certify that I have provided the information on this Form to the best of my knowledge and belief and the certification is true, correct and complete including the taxpayer identification number/functional equivalent number of the Applicant. I am further aware that as per the Union Budget, 2023, a penalty of ₹<5000> per account holder shall be levied for furnishing inaccurate statement of financial transaction owing to false or inaccurate self - certification submitted by me under FATCA/CRS. **(vii)** I/We hereby declare that the details furnished above are true and correct to the best of my/our knowledge and belief correct and complete. In case of any change in the above given status on a future date, I /we undertake to inform ICI CI Securities the same within 3 0 da ys. **(viii)** I/We hereby confirm that details as provided above can be shared by ICICI Securities with the concerned Asset Management Companies (AMCs) or such other product providers, to whom FATCA/CRS norms are applicable, in whose schemes/ products we may invest/transact in future thro ugh ICICI Securities.

Authorised Signatory Sign	Authorised Signatory Sign	Authorised Signatory Sign

For more details about FATCA, please refer US IRS website on - http://www.irs.gov/Businesses/Corporations/Foreign -Account-Tax-Compliance-Act-FATCA . If you are not sure about your entity(s) FATCA status, you are requested to contact your tax advisor.